



Filing ID #10028767

# FINANCIAL DISCLOSURE REPORT

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## FILER INFORMATION

**Name:** Hon. Brian Higgins  
**Status:** Member  
**State/District:** NY26

## FILING INFORMATION

**Filing Type:** Amendment Report  
**Filing Year:** 2018  
**Filing Date:** 07/16/2019

## SCHEDULE A: ASSETS AND "UNEARNED" INCOME

| Asset  | Owner | Value of Asset     | Income Type(s) | Income          | Tx. > \$1,000?           |
|--|-------|--------------------|----------------|-----------------|--------------------------|
| Congressional FCU [BA]   |       | \$1,001 - \$15,000 | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| Key Bank [BA]  | DC    | \$1,001 - \$15,000 | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| M&T Bank [BA]  |       | \$1,001 - \$15,000 | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| M&T Bank [BA]  | SP    | \$1,001 - \$15,000 | Interest       | \$1 - \$200     | <input type="checkbox"/> |
| Micron Technology, Inc. (MU) [ST]  |       | \$1,001 - \$15,000 | Dividends      | \$1 - \$200     | <input type="checkbox"/> |
| NVIDIA Corporation (NVDA) [ST]   |       | \$1,001 - \$15,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/> |
| NY State & Local Employees Retirement Fund (not self-directed) [PE]  |       | Undetermined       | None           |                 | <input type="checkbox"/> |
| DESCRIPTION: Pension at retirement.<br>COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see <a href="http://www.osc.state.ny.us/pension.index.htm">http://www.osc.state.ny.us/pension.index.htm</a> |       |                    |                |                 |                          |
| NY State & Local Employees Retirement Fund (not self-  | SP    | Undetermined       | None           |                 | <input type="checkbox"/> |

| Asset  | Owner | Value of Asset     | Income Type(s) | Income          | Tx. > \$1,000?                      |
|--|-------|--------------------|----------------|-----------------|-------------------------------------|
| directed) [PE]   |       |                    |                |                 |                                     |
| DESCRIPTION: Pension at retirement.<br>COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see <a href="http://www.osc.state.ny.us/pension.index.htm">http://www.osc.state.ny.us/pension.index.htm</a> |       |                    |                |                 |                                     |
| Schwab S&P 500 Index FC [MF]   |       | \$1,001 - \$15,000 | Dividends      | \$201 - \$1,000 | <input type="checkbox"/>            |
| Sphere 3D Corp. - Common Shares (ANY) [ST]   |       | \$1,001 - \$15,000 | Dividends      | None            | <input checked="" type="checkbox"/> |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE B: TRANSACTIONS

| Asset                                      | Owner | Date       | Tx. Type | Amount             | Cap. Gains > \$200? |
|--|-------|------------|----------|--------------------|---------------------|
| Micron Technology, Inc. (MU) [ST]          |       | 04/6/2018  | P        | \$1,001 - \$15,000 |                     |
| Micron Technology, Inc. (MU) [ST]          |       | 03/23/2018 | P        | \$1,001 - \$15,000 |                     |
| Sphere 3D Corp. - Common Shares (ANY) [ST] |       | 04/16/2018 | P        | \$1,001 - \$15,000 |                     |

\* For the complete list of asset type abbreviations, please visit <https://fd.house.gov/reference/asset-type-codes.aspx>.

### SCHEDULE C: EARNED INCOME

| Source                                 | Type          | Amount      |
|--|---------------|-------------|
| City of Buffalo, NY Board of Education | Spouse salary | \$69,425.00 |

### SCHEDULE D: LIABILITIES

| Owner  | Creditor                | Date Incurred | Type                           | Amount of Liability   |
|--|-------------------------|---------------|--------------------------------|-----------------------|
| JT   | Owners' Choice Mortgage | February 2010 | Mortgage on personal residence | \$100,001 - \$250,000 |
| COMMENTS: CUC Mortgage sold mortgage to new mortgage holder. |                         |               |                                |                       |

### SCHEDULE E: POSITIONS

None disclosed.

## SCHEDULE F: AGREEMENTS

| Date  | Parties To                                    | Terms of Agreement    |
|---|---|-----------------------|
| 1985  | NY State & Local Employees' Retirement System | Pension at retirement |
| COMMENTS: The New York State & Local Employees' Retirement System is administered by a sole trustee -- the elected New York State Comptroller. Investments are not self-directed. For more information please see <a href="http://www.osc.state.ny.us/pension.index.htm">http://www.osc.state.ny.us/pension.index.htm</a> |   |                       |

## SCHEDULE G: GIFTS

None disclosed.

## SCHEDULE H: TRAVEL PAYMENTS AND REIMBURSEMENTS

None disclosed.

## SCHEDULE I: PAYMENTS MADE TO CHARITY IN LIEU OF HONORARIA

None disclosed.

## EXCLUSIONS OF SPOUSE, DEPENDENT, OR TRUST INFORMATION

**IPO:** Did you purchase any shares that were allocated as a part of an Initial Public Offering?

☐ Yes ☒ No

**Trusts:** Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust benefiting you, your spouse, or dependent child?

☐ Yes ☒ No

**Exemption:** Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or dependent child because they meet all three tests for exemption?

☐ Yes ☒ No

## CERTIFICATION AND SIGNATURE

☒ I CERTIFY that the statements I have made on the attached Financial Disclosure Report are true, complete, and correct to the best of my knowledge and belief.

**Digitally Signed:** Hon. Brian Higgins , 07/16/2019